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**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission

3

Application Number

10/538,126

Filing Date

June 6, 2005

First Named Inventor

Shunsuke Kuroiwa

Art Unit

1624

Examiner Name

Jaisle, Cecilia M.

Attorney Docket Number

442P097

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

Landscape Table on CD

☐

After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
below):

-Form PTO-1449

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Niels &amp; Lemack

Signature

Printed name

Kevin S. Lemack

Date

November 3, 2008

Reg. No.

32,579

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Kevin S. Lemack

Date

November 3, 2008

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shunsuke Kuroiwa et al.

Serial No. 10/538,126

Filed June 6, 2005

For : 3-PHENYL-CINNOLINE ANALOGUE AND ANTITUMOR AGENT USING  
THE SAME

Examiner : Jaisle, Cecilia M.

Art Unit : 1624

Confirmation No: 2218

Attorney  
Docket No. : 442P097

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:


INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

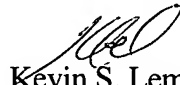
I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from the foreign office in a counterpart foreign application not more than three months prior to the filing of this statement

Reference D1 was previously filed with the patent and Trademark Office in an Information Disclosure Statement on August 17, 2007. References D2 – D4 were previously filed with the patent and Trademark Office in an Information Disclosure Statement on August 18, 2005. Since references D1 – D4 are already of record, they are not being provided herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **November 3, 2008**

  
Signature: **Kevin S. Lemack**  
Date: **November 3, 2008**

Respectfully submitted,

  
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